INTERNET FORM NLRB-501 (2-08)

### UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD

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DO NOT WR	ITE IN THIS SPACE		
Case	Date Filed		
09-CA-247030	August 22, 2019	)	

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NSTRUCTIONS: ile an original with NLRB Regional Director for the region in which the alleged unfair labor		09-CA-247030	August 22, 2019	
		ce occurred or is occurring		
1. EMPLOYER	AGAINST WHOM CHAR	GE IS BROUGHT		
a. Name of Employer			b. Tel. No. (937) 496-5777	
Fuyao			c. Cell No.	
			f Fachla	
d. Address (Street, city, state, and ZIP code)	e. Employer Represent	ative	f. Fax No.	
.g. e-Mail 00 Fuyao Avenue			.g. e-Mail	
OH Moraine 45439-7500				
			h. Number of workers employed	
i. Type of Establishment (factory, mine, wholesaler, etc.)	j. Identify principal prod	uct or service		
k. The above-named employer has engaged in and is engagi	ing in unfair labor practices w	ithin the meaning of sec	tion 8(a), subsections (1) and (list	
subsections)		•	or Relations Act, and these unfair lab	
practices are practices affecting commerce within the mea	ining of the Act, or these unfa	ir labor practices are un	fair practices affecting commerce	
within the meaning of the Act and the Postal Reorganization.  2. Basis of the Charge (set forth a clear and concise statement)	on Act.		actices)	
within the meaning of the Act and the Postal Reorganization	on Act.		actices)	
within the meaning of the Act and the Postal Reorganization  2. Basis of the Charge (set forth a clear and concise statement See additional page  3. Full name of party filing charge (if labor organization, give	on Act. ent of the facts constituting th	e alleged unfair labor pr	actices)	
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Tel. No. 6. DECLARATION

I declare that I have read the above charge and that the statements are true to the best of my knowledge and belief.

Title: (signature of representative or person making charge) (Print/type name and title or office, if any)

(b) (6), (b) (7)(C) Address

08/21/2019 20:24:56 (date)

Office, if any, Cell No. Fax No.

e-Mail (b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

### **Basis of the Charge**

#### 8(a)(1)

Within the previous six-months, the Employer has interfered with, restrained, and coerced its employees in the exercise of rights protected by Section 7 of the Act by threatening to retaliate against employees if they joined or supported a union.

Name of Employer's Agent/Representative who made the statement	Approximate date
(b) (6), (b) (7)(C)	2017



## UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

Agency Website: www.nlrb.gov
Telephone: (513)684-3686



Mobile App

August 22, 2019

Fax: (513)684-3946

Fuyao 800 Fuyao Avenue Moraine, OH 45439-7500

REGION 9 550 MAIN ST

RM 3003

CINCINNATI, OH 45202-3271

Re: FUYAO

Case 09-CA-247030

Dear Sir or Madam:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney LINDA B. FINCH whose telephone number is (513)684-3719. If this Board agent is not available, you may contact Supervisory Attorney NAIMA R. CLARKE whose telephone number is (513)684-3647.

<u>Right to Representation</u>: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <u>www.nlrb.gov</u>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not

enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor any request to place limitations on our use of position statements or evidence beyond those prescribed by the Freedom of Information Act and the Federal Records Act. Thus, we will not honor any claim of confidentiality except as provided by Exemption 4 of FOIA, 5 U.S.C. Sec. 552(b)(4), and any material you submit may be introduced as evidence at any hearing before an administrative law judge. We are also required by the Federal Records Act to keep copies of documents gathered in our investigation for some years after a case closes. Further, the Freedom of Information Act may require that we disclose such records in closed cases upon request, unless there is an applicable exemption. Examples of those exemptions are those that protect confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Procedures:</u> We strongly urge everyone to submit all documents and other materials by E-Filing (not e-mailing) through our website, <u>www.nlrb.gov</u>. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format). If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

Patricia K. Nachand Acting Regional Director

#### Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

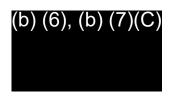


# UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 9 550 MAIN ST RM 3003 CINCINNATI, OH 45202-3271

Agency Website: www.nlrb.gov Telephone: (513)684-3686 Fax: (513)684-3946 Download NLRB Mobile App

August 22, 2019



Re: FUYAO

Case 09-CA-247030

Dear (b) (6), (b) (7)(C)

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Presentation of Your Evidence: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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Very truly yours,

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